

## 柏瑞證券投資信託股份有限公司 函

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主旨：謹通知本公司總代理之柏瑞環球基金系列(下稱「本基金」)修訂公開說明書一事，請查照。

說明：

一、本基金修訂公開說明書，本次修正內容主要重點如下：

(一) 就本基金公開說明書的一般變更，包括：

- (1) 章程「風險因素」一節將作出修訂，以納入其他風險，更新現有風險及刪除若干已過時風險。此部分所作改動不會影響子基金的風險狀況。
- (2) 因應台灣金融監督管理委員會對中國證券市場訂立的新限制，發行章程「本基金資產的投資」一節中「於台灣註冊的子基金的投資限制」分節將會更新。
- (3) 章程「本基金的營運」一節中「基金單位說明」分節將作出修訂，僅列出可供投資的基金單位類別。基金單位類別的任何改動均不會影響現時投資於現有基金單位類別的本基金單位持有人。贖回結算期亦由交易日後第五個營業日或之前，更改為 T+3 個營業日或 T+5 個營業日（視相關子基金而定）。在特殊情況下，為保障單位持有人之利益，基金經理保留權利將贖回結算期延長至相關交易日後最多十個營業日。
- (4) 章程「本基金的營運」一節中「計算基金單位的資產淨值」分節將作出修訂，以提供定息證券的估值方法。本基金信託契約的相應章節將作出相應修訂。
- (5) 章程「一般資料」一節中「清算」分節將作出修訂，若基金經理認為適當且符合單位持有人之最佳利益，並根據銷售文件及適用監管規定向單位持有人給予充分通知，可隨時終止子基金。除上述內容外，基金經理終止子基金的最低門檻（即 50,000,000 美元）將被取消。本基金信託契約亦將作出相應修訂。

(6) 因應近期的監管更新，章程「一般資料」一節中「資料保護」分節將會更新。

(7) 章程附錄「認可交易所名單」將會更新，已將英國倫敦證券交易所列為認可交易所市場。

(二) 就子基金的特定變更，請注意該等修訂並未對子基金的投資目標或政策有任何修改，僅就子基金為相關澄清說明：

(i) 所有子基金：

(1) 有關子基金之管理方式及基準的作用將納入附錄。

(2) 附錄「類別資料－附錄基金單位說明表」一節將會更新，僅納入各子基金現時提供的基金單位類別。

(3) 附錄「風險資料」一節所列各項風險將會易名，以與章程的風險標題保持一致。

(ii) 柏瑞亞洲（日本除外）股票基金、柏瑞亞洲（日本除外）小型公司股票基金、柏瑞新興歐洲股票基金、柏瑞歐洲研究增值股票基金、柏瑞環球重點股票基金、柏瑞大中華股票基金、柏瑞印度股票基金、柏瑞日本小型公司股票基金、柏瑞拉丁美洲股票基金、柏瑞美國大型資本研究增值基金、柏瑞美國研究增值核心股票基金、柏瑞環球新興市場當地貨幣債券基金、柏瑞環球策略收益基金：「營業日」定義將作出簡化，為避免疑義，週六及週日並非愛爾蘭的銀行營業日，因此並不視為相關子基金的營業日。

(iii) 柏瑞歐洲研究增值股票基金及柏瑞美國研究增值核心股票基金：A 及 Y 基金單位類別不同單位的管理費以及單位持有人服務及維持費（如適用）已下調。

(iv) 柏瑞亞洲（日本除外）股票基金及柏瑞大中華股票基金：該等子基金的投資政策將作出修訂，以便子基金透過滬港通及深港通將其少於 30% 的資產淨值投資於若干合資格中國 A 股。該等子基金亦將承受與中港通以及中小企業板及／或創業板相關的風險。

二、詳細內容敬請參閱附件基金經理公司於 2020 年 12 月 14 日寄發予基金單位持有人之通知信。

三、該等修訂將於 2021 年 1 月 26 日當日生效，並於最新版之公開說明書中載列。最新版之公開說明書可於境外基金資訊觀測站(<http://announce.fundclear.com.tw>) 中下載或可向本公司索取。

附件：致基金單位持有人通知信（中、英文版）

正本：臺灣中小企業銀行信託部、合作金庫銀行信託部、日盛商業銀行個人理財處、遠東國際商業銀行信託部、陽信銀行信託部、兆豐國際商業銀行信託處、星展(台灣)銀行信託部、渣打國際商業銀行信託部、第一商業銀行信託處、臺灣銀行信託部、安泰商業銀行財

富管理部、聯邦銀行財富管理部、玉山商業銀行財富管理部、華泰商業銀行信託部、彰化銀行信託處、臺灣新光銀行信託部、國泰世華銀行信託部、台北富邦銀行信託部、永豐銀行理財商品部、匯豐(台灣)銀行信託及投資作業部、上海商業儲蓄銀行、板信商銀理財事業部、元大商業銀行股份有限公司信託部、凱基商業銀行信託處、華南商業銀行信託部、瑞興銀行信託部、京城銀行信託部、台中商業銀行信託部、三信商業銀行信託部、高雄銀行信託部、日盛證券股份有限公司、日盛證券股份有限公司財富管理部、凱基證券股份有限公司、兆豐證券股份有限公司、統一綜合證券股份有限公司、元大證券股份有限公司、鉅亨證券投資顧問股份有限公司、元富證券股份有限公司、群益金鼎證券股份有限公司、華南永昌證合證券股份有限公司、基富通證券股份有限公司、中租投資顧問股份有限公司、永豐金證券股份有限公司、富邦證券股份有限公司、容海國際證券投資顧問股份有限公司、萬寶證券投資顧問股份有限公司、台新國際商業銀行信託部、中國信託商業銀行信託部、核聚證券投資顧問股份有限公司、南山人壽保險股份有限公司、富邦人壽保險股份有限公司、遠雄人壽保險股份有限公司、安聯人壽保險股份有限公司、中國人壽保險股份有限公司、全球人壽保險股份有限公司、宏泰人壽保險股份有限公司、法國巴黎人壽保險股份有限公司、合作金庫人壽保險股份有限公司、台灣人壽保險股份有限公司、國泰人壽保險股份有限公司、安達人壽保險股份有限公司

副本：遠東國際商業銀個金產品行銷部、陽信銀行財富管理部、星展(台灣)商業銀行財富管理部、華泰商業銀行財富管理部、臺灣新光銀行財富管理部、國泰世華銀行財富管理部、台北富邦銀行總行投資商品處、匯豐(台灣)銀行財富管理部、瑞興銀行財管部、三信商業銀行財富管理部、京城銀行財富管理部

總經理 董俊男

日期：2020年12月14日

此乃重要函件，務請閣下即時處理。如閣下對本函內容或應採取的行動有任何疑問，請聯絡閣下的客戶服務代表，柏瑞於當地的辦事處或尋求獨立專業意見。

致柏瑞環球基金（「**本基金**」）的所有投資者

**有關： 柏瑞環球基金（「**本基金**」）**

**對**本基金**及**本基金**若干子基金（各稱及統稱為「**子基金**」）作出建議改動的通知**

致投資者：

謹此致函通知閣下，本基金的發行章程（「**發行章程**」）、若干子基金的附錄（「**附錄**」）、適用的當地說明文件及本基金的信託契約（「**信託契約**」）（統稱「**銷售文件**」）將作出多項改動。對銷售文件作出的建議改動（「**建議改動**」）於本函件附錄一中概述。

*建議改動為一般更新，因此無需閣下採取任何行動。*除本函件附錄一另有訂明外，對銷售文件作出的改動預期將於2021年1月26日當日或前後生效（「**生效日期**」）。經更新的銷售文件將於生效日期當日或前後由PineBridge Investments Ireland Limited（「**基金經理**」）或行政及過戶代理人State Street Fund Services (Ireland) Limited，以及本基金香港代表（適用於香港居民單位持有人）柏瑞投資亞洲有限公司的辦事處免費提供。草擬及實行建議改動的法律及行政費用將由本基金承擔。

本函件所用詞彙與發行章程中所界定者具相同涵義。

本函件所載之資料乃根據事實，且並無遺漏任何可能影響該等資料涵義之內容。基金經理之董事就本函件所載之資料於刊發日期當日的準確性負責。

如欲索取更多資訊，請聯絡：

位於歐洲 / 英國的投資者，請聯絡PineBridge Investments Ireland Limited，地址為The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland，電話為+353 1 697 3919。

位於香港的投資者，請聯絡柏瑞投資亞洲有限公司，地址為香港皇后大道東一號太古廣場三座三十一樓，電話為+852 3970 3938。

位於新加坡的投資者，請聯絡PineBridge Investments Singapore Limited，地址為One George Street, 1 George Street, Unit 21-06, Singapore 049145，電話為+65 6571 9360。

此致

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董事

代表

PineBridge Investments Ireland Limited

## 附錄一

### 對銷售文件作出的建議改動之概要

#### I. 本基金銷售文件的一般改動

- (i) 發行章程的「釋義」一節將易名為「詞彙表」。將刪除或修訂若干現有定義，並增加若干新定義。
- (ii) 因應基金經理董事會的最新人事變動，發行章程「名錄」（將易名為「名錄及主要特點」）一節及「本基金的管理及行政」一節將會更新。
- (iii) 因應台灣的監管機構金融監督管理委員會對中國證券市場訂立的新限制，發行章程「本基金資產的投資」一節中「於台灣註冊的子基金的投資限制」分節將會更新。
- (iv) 發行章程「風險因素」一節將作出修訂，以納入其他風險，更新現有風險及刪除若干已過時風險。此部分所作改動不會影響子基金的風險狀況。
- (v) 發行章程「本基金的營運」一節中「基金單位說明」分節將作出修訂，僅列出可供投資的基金單位類別。基金單位類別的任何改動均不會影響現時投資於現有基金單位類別的本基金單位持有人。贖回結算期亦由交易日後第五個營業日或之前更改為T+3個營業日或T+5個營業日（視乎相關子基金而定）。在特殊情況下，為保障單位持有人之利益，基金經理保留權利將贖回結算期延長至相關交易日後最多十個營業日。有關確實贖回結算期之更多詳情，請參閱本附錄的第二部分。投資者亦應參閱適用的當地說明文件，以了解有關贖回結算期（若有）之具體詳情，而該贖回結算期適用於特定司法管轄區的投資者。
- (vi) 發行章程「本基金的營運」一節中「計算基金單位的資產淨值」分節將作出修訂，以提供定息證券的估值方法。本基金信託契約的相應章節將作出相應修訂。
- (vii) 發行章程「一般資料」一節中「清盤」分節將作出修訂，若基金經理認為適當且符合單位持有人之最佳利益，並根據銷售文件及適用監管規定向單位持有人給予充分通知，可隨時終止子基金。除上述內容外，基金經理終止子基金的最低門檻（即50,000,000美元）將被取消。本基金信託契約亦將作出相應修訂。
- (viii) 因應近期的監管更新，發行章程「一般資料」一節中「資料保護」分節將會更新。
- (ix) 發行章程附錄「認可交易所名單」將會更新，以將英國倫敦證券交易所列為認可交易所市場。

#### II. 對子基金發行章程附錄（「附錄」）的特定改動

請注意，以下改動並未對相關子基金的投資目標及政策有任何修改，僅就子基金為相關澄清說明。

##### (I) 所有子基金

- i. 有關子基金之管理方式及基準的作用將納入附錄。
- ii. 附錄「類別資料-附錄基金單位說明表」一節將會更新，僅納入各子基金現時提供的基金單位類別。
- iii. 附錄「風險資料」一節所列各項風險將會易名，以與主要發行章程的風險標題保持一致。

(II) 柏瑞亞洲（日本除外）股票基金、柏瑞亞洲（日本除外）小型公司股票基金、柏瑞新興歐洲股票基金、柏瑞歐洲研究增值股票基金、柏瑞環球重點股票基金、柏瑞大中華股票基金、柏瑞印度股票基金、柏瑞日本小型公司股票基金、柏瑞拉丁美洲股票基金、柏瑞美國大型資本研究增值基金、柏瑞美國研究增值核心股票基金、柏瑞環球新興市場當地貨幣債券基金、柏瑞環球策略收益基金「營業日」定義將作出簡化，為避免疑義，週六及週日並非愛爾蘭的銀行營業日，因此並不視為相關子基金的營業日。

**(III) 除柏瑞亞洲（日本除外）小型公司股票基金、柏瑞亞洲（日本除外）股票基金、柏瑞印度股票基金及柏瑞日本小型公司股票基金外的所有子基金**

贖回結算期將會縮短，並將於交易日加三個營業日支付；柏瑞亞洲（日本除外）小型公司股票基金、柏瑞亞洲（日本除外）股票基金、柏瑞印度股票基金及柏瑞日本小型公司股票基金除外，其贖回結算期未有更改，將於交易日加五個營業日繼續支付。

除柏瑞亞洲（日本除外）小型公司股票基金、柏瑞印度股票基金及柏瑞美國大型資本研究增值基金外，對於所有其他子基金，基金經理可在認為符合單位持有人之最佳利益的情況下，酌情延長贖回結算期至交易日加十個營業日。

**(iv) 柏瑞歐洲研究增值股票基金及柏瑞美國研究增值核心股票基金**

A 及 Y 基金單位類別不同單位的管理費以及單位持有人服務及維持費（如適用）已下調。

**(v) 柏瑞亞洲（日本除外）股票基金及柏瑞大中華股票基金**

該等子基金的投資政策將作出修訂，以便子基金透過滬港通及深港通將其少於 30% 的資產淨值投資於若干合資格中國 A 股。該等子基金亦將承受與中港通以及中小企業板及 / 或創業板相關的風險。

Date: 14 December 2020

**This letter is important and requires your immediate attention. If you have any questions regarding the content or action to be taken, please contact your client services representative, local PineBridge office or seek independent professional advice.**

To all investors of PineBridge Global Funds (the “Fund”)

**RE: PINEBRIDGE GLOBAL FUNDS (THE “FUND”)  
NOTIFICATION OF PROPOSED CHANGES TO THE FUND AND CERTAIN SUB-FUNDS OF THE FUND  
(EACH A “SUB-FUND” AND TOGETHER THE “SUB-FUNDS”)**

Dear Investor,

The purpose of this letter is to notify you of a number of changes that will be made to the prospectus of the Fund (the “**Prospectus**”), the supplements for certain Sub-Funds (a “**Supplement**”), the applicable local covering documents and the Trust Deed of the Fund (the “**Trust Deed**”) (together the “**Offering Documents**”). The proposed changes being made to the Offering Documents are summarised in Appendix 1 to this letter (the “**Proposed Changes**”).

*The Proposed Changes are general updates and so do not require any action on your part. Unless otherwise stated in Appendix 1, changes to the Offering Documents are expected to become effective on or around **26 January 2021** (the “**Effective Date**”). The updated Offering Documents will be made available, free of charge, on or around the Effective Date from PineBridge Investments Ireland Limited (the “**Manager**”) or the Fund’s Administrator and Transfer Agent, State Street Fund Services (Ireland) Limited, and from the offices of PineBridge Investments Asia Limited, the Fund’s Hong Kong representative (for Hong Kong resident Unitholders). The legal and administrative costs of drafting and implementing the Proposed Changes will be borne by the Fund.*

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

**The information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors of the Manager accept responsibility for the information contained in this letter as being accurate at the date of publication.**

**For further information, please do not hesitate to contact:**

**Investors resident in Europe/UK**, please contact PineBridge Investments Ireland Limited, The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson’s Quay, Dublin 2, Ireland Tel: +353 1 697 3919.

**Investors resident in Hong Kong**, please contact PineBridge Investments Asia Limited, Level 31, Three Pacific Place, 1 Queen’s Road East, Hong Kong Tel: +852 3970 3938.

**Investors in Singapore**, please contact PineBridge Investments Singapore Limited, One George Street, 1 George Street, Unit 21-06, Singapore 049145, Tel: +65 6571 9360.

**PineBridge Investments Ireland Limited**

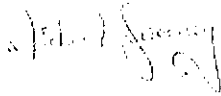
Business Address: C/o 4<sup>th</sup> Floor • The Observatory Building • 7-11 Sir John Rogerson’s Quay • Dublin 2 • Ireland

Incorporated in Ireland: Registration No. 145670

Directors: Eimear Cowhey, Éilish Finan, Michael Sweeney, Roman Hackelsberger (Germany), Michael Karpik (USA), Klaus Schuster (Germany)

PineBridge Investments Ireland Limited is regulated by the Central Bank of Ireland

Yours sincerely,



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**Michael Sweeney**  
Director  
for and on behalf of PineBridge Investments Ireland Limited



**APPENDIX 1****Summary of proposed changes being made to the Offering Documents****I. General changes to the Offering Documents of the Fund**

- (i) The “INTERPRETATION” Section of the Prospectus will be renamed “GLOSSARY”. Some of the existing definitions will be removed or amended and some new definitions will be added.
- (ii) The “DIRECTORY” (to be renamed as “DIRECTORY AND KEY FEATURES”) and “MANAGEMENT AND ADMINISTRATION OF THE FUND” sections of the Prospectus will be updated to reflect recent changes to the board of directors of the Manager.
- (iii) The “Investment Restrictions for Sub-Funds registered in Taiwan” sub-section in the “INVESTMENT OF THE FUND’S ASSETS” section in the Prospectus will be updated to reflect the new limit in China securities markets prescribed by the regulatory authority in Taiwan, the Financial Supervisory Commission.
- (iv) The “RISK FACTORS” section of the Prospectus will be amended to include additional risks, to update existing risks and to remove some risks that have become obsolete. The changes made to this section do not impact the risk profile of the Sub-Funds.
- (v) The “Unitclass Descriptions” sub-section in the “OPERATION OF THE FUND” section of the Prospectus will be amended to set out only the Unit Classes which are available for investment. None of the changes to the Unit Classes has an impact on the Unitholders of the Fund currently investing in the existing Unit Classes. The settlement period of redemptions has also changed from on or before the fifth Business Day following the Dealing Day to T+3 Business Days or T+5 Business Days (depending on the relevant Sub-Fund). In exceptional circumstances, with a view to protecting the interests of the Unitholders, the Manager reserves the right to extend the redemption settlement period to up to ten Business Days following the relevant Dealing Day. Further details on the exact settlement period for redemptions can be found in part II of this Appendix. Investors should also refer to the applicable local covering documents for specific details relating to the settlement period for redemptions (if any) which apply to investors based in a particular jurisdiction.
- (vi) The “Calculation of the Net Asset Value of the Units” sub-section in the “OPERATION OF THE FUND” section of the Prospectus will be amended to provide the valuation method of fixed income securities. Corresponding changes will be made in the respective sections of the Trust Deed of the Fund.
- (vii) The “Winding Up” sub-section of the “GENERAL INFORMATION” section of the Prospectus will be amended to allow the Manager of the Fund to terminate a Sub-Fund at any time if deemed appropriate and where it is felt to be in the best interests of the Unitholders, and provided sufficient notice to Unitholders in accordance with the Offering Documents and the applicable regulatory requirements, is given. Apart from the foregoing, the minimum threshold (i.e. USD 50,000,000) for terminating a Sub-Fund by the Manager will be removed. The Trust Deed of the Fund will also be amended accordingly.
- (viii) The “Data Protection” sub-section which is included in the “GENERAL INFORMATION” section of the Prospectus will be updated to reflect the recent regulatory updates.
- (ix) The Appendix of the Prospectus headed “List of Recognised Exchanges” will be updated to list the United Kingdom-London Stock Exchange as a Recognised Exchange market.

## II. Sub-Fund Prospectus Supplement (the “Supplements”) Specific Changes

Please note that the following changes do not change the Investment Objectives and Policies of the relevant Sub-Funds and are merely clarifications applied to the Sub-Funds.

### (i) All Sub-Funds

- i. A reference to the style of management of the Sub-Fund and the role of benchmark will be added to the Supplements.
- ii. The “Class Information – Supplement Unit Description Table” section of the Supplements will be updated to include only the Unit Classes which are now available for each Sub-Fund.
- iii. Various risks listed in the “Risk Information” section of the Supplements will be renamed in order to align with the risk headings of the main Prospectus.

(ii) **PineBridge Asia ex Japan Equity Fund, PineBridge Asia ex Japan Small Cap Equity Fund, PineBridge China A-Shares Quantitative Fund<sup>1</sup>, PineBridge Emerging Europe Equity Fund, PineBridge Europe Research Enhanced Equity Fund, PineBridge Global Focus Equity Fund, PineBridge Greater China Equity Fund, PineBridge India Equity Fund, PineBridge Japan Small Cap Equity Fund<sup>1</sup>, PineBridge Latin America Equity Fund, PineBridge US Large Cap Research Enhanced Fund, PineBridge US Research Enhanced Core Equity Fund, PineBridge Asia Pacific Investment Grade Bond Fund, PineBridge Global Emerging Markets Local Currency Bond Fund, PineBridge Global Strategic Income Fund, PineBridge Asia Dynamic Asset Allocation Fund**

The definition of Business Day will be simplified. For the avoidance of doubt, Saturday and Sunday are not bank business days in Ireland and will not be considered as Business Days for the relevant Sub-Funds.

(iii) **All Sub-Funds other than PineBridge Asia ex Japan Small Cap Equity Fund, PineBridge Asia ex Japan Equity Fund, PineBridge India Equity Fund and PineBridge Japan Small Cap Equity Fund<sup>1</sup>**

The redemption settlement period will be shortened and will be paid on trade date plus three Business Days except for PineBridge Asia ex Japan Small Cap Equity Fund, PineBridge Asia ex Japan Equity Fund, PineBridge India Equity Fund and PineBridge Japan Small Cap Equity Fund, where the redemption settlement period has not changed and will continue to be paid on trade date plus five Business Days.

Apart from PineBridge Asia ex Japan Small Cap Equity Fund, PineBridge India Equity Fund and PineBridge US Large Cap Research Enhanced Fund, for all other Sub-Funds, the redemption settlement period can be extended to trade date plus ten Business Days at the Manager’s discretion and where it is felt to be in the best interests of the Unitholders.

(iv) **PineBridge Europe Research Enhanced Equity Fund and PineBridge US Research Enhanced Core Equity Fund**

The management fee and unitholder servicing & maintenance fee (where applicable) of different variations of the A and Y unit classes have decreased.

(v) **PineBridge Asia ex Japan Equity Fund and PineBridge Greater China Equity Fund**

The investment policy of these Sub-Funds will be amended to allow for the Sub-Funds to invest less than 30% of their Net Asset Value in certain eligible China-A securities via the Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect. These Sub-Funds will also be subject to the relevant

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<sup>1</sup> This Sub-Fund is not authorised by the Securities and Futures Commission and is therefore not available to the public in Hong Kong.

risks associated with Stock Connect and in relation to Small and Medium Enterprise Board and/or ChiNext market.

Date: 14 December 2020

**This letter is important and requires your immediate attention. If you have any questions regarding the content or action to be taken, please contact your client services representative, local PineBridge office or seek independent professional advice.**

To all investors of PineBridge Global Funds (the “Fund”)

**RE: PINEBRIDGE GLOBAL FUNDS (THE "FUND")  
NOTIFICATION OF PROPOSED CHANGES TO THE FUND AND CERTAIN SUB-FUNDS OF THE FUND  
(EACH A "SUB-FUND" AND TOGETHER THE "SUB-FUNDS")**

Dear Investor,

The purpose of this letter is to notify you of a number of changes that will be made to the prospectus of the Fund (the “**Prospectus**”), the supplements for certain Sub-Funds (a “**Supplement**”), the applicable local covering documents and the Trust Deed of the Fund (the “**Trust Deed**”) (together the “**Offering Documents**”). The proposed changes being made to the Offering Documents are summarised in Appendix 1 to this letter (the “**Proposed Changes**”).

*The Proposed Changes are general updates and so do not require any action on your part.* Unless otherwise stated in Appendix 1, changes to the Offering Documents are expected to become effective on or around **26 January 2021** (the “**Effective Date**”). The updated Offering Documents will be made available, free of charge, on or around the Effective Date from PineBridge Investments Ireland Limited (the “**Manager**”) or the Fund’s Administrator and Transfer Agent, State Street Fund Services (Ireland) Limited, and from the offices of PineBridge Investments Asia Limited, the Fund’s Hong Kong representative (for Hong Kong resident Unitholders). The legal and administrative costs of drafting and implementing the Proposed Changes will be borne by the Fund.

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

**The information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors of the Manager accept responsibility for the information contained in this letter as being accurate at the date of publication.**

**For further information, please do not hesitate to contact:**

**Investors resident in Europe/UK**, please contact PineBridge Investments Ireland Limited, The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson’s Quay, Dublin 2, Ireland Tel: +353 1 697 3919.

**Investors resident in Hong Kong**, please contact PineBridge Investments Asia Limited, Level 31, Three Pacific Place, 1 Queen’s Road East, Hong Kong Tel: +852 3970 3938.

**Investors in Singapore**, please contact PineBridge Investments Singapore Limited, One George Street, 1 George Street, Unit 21-06, Singapore 049145, Tel: +65 6571 9360.

Yours sincerely,



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**Michael Sweeney**  
Director  
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risks associated with Stock Connect and in relation to Small and Medium Enterprise Board and/or ChiNext market.



日期：2020 年 12 月 14 日

此乃重要函件，務請閣下即時處理。如閣下對本函內容或應採取的行動有任何疑問，請聯絡閣下的客戶服務代表，柏瑞於當地的辦事處或尋求獨立專業意見。

致柏瑞環球基金（「**本基金**」）的所有投資者

**有關： 柏瑞環球基金（「**本基金**」）**

**對本基金及本基金若干子基金（各稱及統稱為「**子基金**」）作出建議改動的通知**

致投資者：

謹此致函通知閣下，本基金的發行章程（「**發行章程**」）、若干子基金的附錄（「**附錄**」）、適用的當地說明文件及本基金的信託契約（「**信託契約**」）（統稱「**銷售文件**」）將作出多項改動。對銷售文件作出的建議改動（「**建議改動**」）於本函件附錄一中概述。

*建議改動為一般更新，因此無需閣下採取任何行動。*除本函件附錄一另有訂明外，對銷售文件作出的改動預期將於 2021 年 1 月 26 日當日或前後生效（「**生效日期**」）。經更新的銷售文件將於生效日期當日或前後由 PineBridge Investments Ireland Limited（「**基金經理**」）或行政及過戶代理人 State Street Fund Services (Ireland) Limited，以及本基金香港代表（適用於香港居民單位持有人）柏瑞投資亞洲有限公司的辦事處免費提供。草擬及實行建議改動的法律及行政費用將由本基金承擔。

本函件所用詞彙與發行章程中所界定者具相同涵義。

本函件所載之資料乃根據事實，且並無遺漏任何可能影響該等資料涵義之內容。基金經理之董事就本函件所載之資料於刊發日期當日的準確性負責。

如欲索取更多資訊，請聯絡：

位於歐洲 / 英國的投資者，請聯絡 PineBridge Investments Ireland Limited，地址為 The Observatory Building, 4<sup>th</sup> Floor, 7-11 Sir John Rogerson's Quay, Dublin 2, Ireland，電話為+353 1 697 3919。

位於香港的投資者，請聯絡柏瑞投資亞洲有限公司，地址為香港皇后大道東一號太古廣場三座三十一樓，電話為+852 3970 3938。

位於新加坡的投資者，請聯絡 PineBridge Investments Singapore Limited，地址為 One George Street, 1 George Street, Unit 21-06, Singapore 049145，電話為+65 6571 9360。

此致

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董事  
代表

PineBridge Investments Ireland Limited

## 附錄一

### 對銷售文件作出的建議改動之概要

#### I. 本基金銷售文件的一般改動

- (i) 發行章程的「釋義」一節將易名為「詞彙表」。將刪除或修訂若干現有定義，並增加若干新定義。
- (ii) 因應基金經理董事會的最新人事變動，發行章程「名錄」（將易名為「名錄及主要特點」）一節及「本基金的管理及行政」一節將會更新。
- (iii) 因應台灣的監管機構金融監督管理委員會對中國證券市場訂立的新限制，發行章程「本基金資產的投資」一節中「於台灣註冊的子基金的投資限制」分節將會更新。
- (iv) 發行章程「風險因素」一節將作出修訂，以納入其他風險，更新現有風險及刪除若干已過時風險。此部分所作改動不會影響子基金的風險狀況。
- (v) 發行章程「本基金的營運」一節中「基金單位說明」分節將作出修訂，僅列出可供投資的基金單位類別。基金單位類別的任何改動均不會影響現時投資於現有基金單位類別的本基金單位持有人。贖回結算期亦由交易日後第五個營業日或之前更改為T+3個營業日或T+5個營業日（視乎相關子基金而定）。在特殊情況下，為保障單位持有人之利益，基金經理保留權利將贖回結算期延長至相關交易日後最多十個營業日。有關確實贖回結算期之更多詳情，請參閱本附錄的第二部分。投資者亦應參閱適用的當地說明文件，以了解有關贖回結算期（若有）之具體詳情，而該贖回結算期適用於特定司法管轄區的投資者。
- (vi) 發行章程「本基金的營運」一節中「計算基金單位的資產淨值」分節將作出修訂，以提供定息證券的估值方法。本基金信託契約的相應章節將作出相應修訂。
- (vii) 發行章程「一般資料」一節中「清盤」分節將作出修訂，若基金經理認為適當且符合單位持有人之最佳利益，並根據銷售文件及適用監管規定向單位持有人給予充分通知，可隨時終止子基金。除上述內容外，基金經理終止子基金的最低門檻（即50,000,000美元）將被取消。本基金信託契約亦將作出相應修訂。
- (viii) 因應近期的監管更新，發行章程「一般資料」一節中「資料保護」分節將會更新。
- (ix) 發行章程附錄「認可交易所名單」將會更新，以將英國倫敦證券交易所列為認可交易所市場。

#### II. 對子基金發行章程附錄（「附錄」）的特定改動

請注意，以下改動並未對相關子基金的投資目標及政策有任何修改，僅就子基金為相關澄清說明。

##### (i) 所有子基金

- i. 有關子基金之管理方式及基準的作用將納入附錄。
- ii. 附錄「類別資料-附錄基金單位說明表」一節將會更新，僅納入各子基金現時提供的基金單位類別。
- iii. 附錄「風險資料」一節所列各項風險將會易名，以與主要發行章程的風險標題保持一致。

(ii) 柏瑞亞洲（日本除外）股票基金、柏瑞亞洲（日本除外）小型公司股票基金、柏瑞新興歐洲股票基金、柏瑞歐洲研究增值股票基金、柏瑞環球重點股票基金、柏瑞大中華股票基金、柏瑞印度股票基金、柏瑞日本小型公司股票基金、柏瑞拉丁美洲股票基金、柏瑞美國大型資本研究增值基金、柏瑞美國研究增值核心股票基金、柏瑞環球新興市場當地貨幣債券基金、柏瑞環球策略收益基金「營業日」定義將作出簡化，為避免疑義，週六及週日並非愛爾蘭的銀行營業日，因此並不視為相關子基金的營業日。

**(iii) 除柏瑞亞洲（日本除外）小型公司股票基金、柏瑞亞洲（日本除外）股票基金、柏瑞印度股票基金及柏瑞日本小型公司股票基金外的所有子基金**

贖回結算期將會縮短，並將於交易日加三個營業日支付；柏瑞亞洲（日本除外）小型公司股票基金、柏瑞亞洲（日本除外）股票基金、柏瑞印度股票基金及柏瑞日本小型公司股票基金除外，其贖回結算期未有更改，將於交易日加五個營業日繼續支付。

除柏瑞亞洲（日本除外）小型公司股票基金、柏瑞印度股票基金及柏瑞美國大型資本研究增值基金外，對於所有其他子基金，基金經理可在認為符合單位持有人之最佳利益的情況下，酌情延長贖回結算期至交易日加十個營業日。

**(iv) 柏瑞歐洲研究增值股票基金及柏瑞美國研究增值核心股票基金**

A 及 Y 基金單位類別不同單位的管理費以及單位持有人服務及維持費（如適用）已下調。

**(v) 柏瑞亞洲（日本除外）股票基金及柏瑞大中華股票基金**

該等子基金的投資政策將作出修訂，以便子基金透過滬港通及深港通將其少於 30% 的資產淨值投資於若干合資格中國 A 股。該等子基金亦將承受與中港通以及中小企業板及 / 或創業板相關的風險。