

野村證券投資信託股份有限公司 函

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附件：中、英文股東通知書 (0000564B20_ATTCH4.pdf)

主旨：謹函轉本公司擔任總代理人之駿利亨德森遠見基金系列境外基金公開說明書相關內容調整如後說明，請 查照。

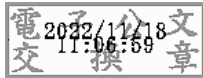
說明：

- 一、本公司經金融監督管理委員會核准，擔任駿利亨德森遠見基金系列境外基金之總代理人，在國內公開募集及銷售，合先敘明。
- 二、本次公開說明書內容調整係因境外基金發行機構擬對駿利亨德森遠見基金-全球科技領先基金之永續性方法之說明進行變更，並自民國111年12月23日起生效，爰進行相關修訂。
- 三、詳細內容請參閱隨函檢附之駿利亨德森遠見基金中、英文版股東通知書。

正本：三信商業銀行股份有限公司(信託部)、台中商業銀行股份有限公司、永豐商業銀行股份有限公司理財商品部、安泰商業銀行股份有限公司、高雄銀行股份有限公司信託部、國泰世華商業銀行股份有限公司信託部(統編12163963)、渣打國際商業銀行股份有限公司信託部、華泰商業銀行股份有限公司、聯邦商業銀行股份有限公司財管部、上海商業儲蓄銀行股份有限公司信託部、元富證券股份有限公司、台北富邦商業銀行股份有限公司、京城商業銀行股份有限公司信託部、星展(台灣)商業銀行信託部(T&O-WMO)、基富通證券股份有限公司、第一商業銀行股份有限公司信託處規劃部、凱基證券股份有限公司、華南商業銀行股份有限公

司、群益金鼎證券股份有限公司、中租證券投資顧問股份有限公司、中國信託商業銀行股份有限公司、王道商業銀行股份有限公司財富信託部、王道商業銀行股份有限公司財富管理部、台新國際商業銀行股份有限公司、玉山商業銀行股份有限公司信託部、兆豐證券股份有限公司、板信商業銀行股份有限公司信託部、鉅亨證券投資顧問股份有限公司、遠東國際商業銀行股份有限公司投資顧問部、宏利證券投資信託股份有限公司、富達證券投資信託股份有限公司、瑞士商瑞士信貸銀行股份有限公司台北證券分公司、新光人壽保險股份有限公司、瑞士商瑞士銀行股份有限公司台北分公司、容海國際證券投資顧問股份有限公司、安聯人壽保險股份有限公司、英屬百慕達商安達人壽保險股份有限公司台灣分公司、三商美邦人壽保險股份有限公司、全球人壽保險股份有限公司、台灣人壽保險股份有限公司、富邦人壽保險股份有限公司產品行銷部、南山人壽保險股份有限公司、中國人壽保險股份有限公司、國泰證券投資信託股份有限公司、富蘭克林華美證券投資信託股份有限公司、復華證券投資信託股份有限公司、兆豐國際證券投資信託股份有限公司、華南產物保險股份有限公司

副本：



(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

(節譯文)

此文件至關重要，需要您立即注意。如您對應採取之行動有任何疑問，請立即諮詢您的投資顧問或其他專業獨立顧問。

駿利亨德森遠見基金（「本公司」）

可變資本投資公司 (SICAV)

盧森堡

商業登記編號 B 22847

股東通知函

2022年11月18日

親愛的股東，

適用於駿利亨德森遠見基金-全球科技領先基金關於其於 SFDR 下之分類變更

除本函另有定義外，本函中使用之所有其他專有名詞與本公司2022年10月1日之公開說明書（「公開說明書」）中所定義者具有相同意涵。

謹致函通知身為本公司股東之台端，本公司擬對全球科技領先基金（「本基金」）之永續性方法之說明進行變更，以刪除提及部份投資於歐洲議會及歐盟理事會於2019年11月27日關於金融服務業永續性相關揭露之歐盟（EU）2019/2088法規（含其修訂）第2條第17項所指之「永續性投資」之說明（分別稱為「本項變更」及「SFDR」），自2022年12月23日（「生效日」）起生效。

本項變更之背景及詳細資訊

SFDR規定公司須於基金文件中納入永續性之相關揭露，以便為投資人提供更多資訊，其中包括永續性投資目標或提倡環境或社會特徵、不利於永續性影響之考量或投資管理人如何於其投資決策中將永續性風險納入考量，以及對投資報酬之可能影響。

本基金目前被分類為第8條基金，符合下列條件：

Janus Henderson Horizon Fund

2 Rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg

W janushenderson.com

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

「除其他特徵外，提倡環境或社會特徵，或此等特徵之組合，惟所投資之公司須遵循良好之公司治理實務」

雖然本基金並未將永續性投資作為其目標，但其永續性方法詳載其目前部分投資於永續性投資。

SFDR 定義之永續性投資係有助於實現一個或多個環境或社會目標之經濟活動之投資，惟此等投資不會重大損害任何該等目標，且被投資公司遵循良好之公司治理實務（「**永續性投資**」）。

本公司已決定自本基金投資策略具拘束性之要素中，將提及會有部分永續性投資之部份刪除，因此不再承諾此等永續性投資。做出此一決定係為了進一步將本基金與第 9 條之基金加以區分，後者以永續性投資為目標，且由同一投資團隊管理。

請注意，該等修訂不會對本基金之投資策略、投資組合之組成或風險概況產生重大影響。任何基金之綜合風險及報酬指標均不因此等變更而有所變動。有關如何因應此等變更之進一步資訊，請參見以下「您得採取之選項」乙節。 [本基金不會因此變更而承擔新的費用、收費或增加現有費用或收費。本基金股東權益不會有重大不利影響。本基金之運作及/或管理並無重大變動。

本項變更之生效日

本項變更將反映於將發布之公開說明書中，而將於生效日或應通知股東之較晚日期生效。

您得採取之選項

請詳閱並選擇下列選項之一

不採取任何行動

您毋須因此變更採取任何行動。

轉換您的股份

Janus Henderson Horizon Fund

2 Rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg
W janushenderson.com

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

您得依公開說明書之規定，於生效日前之任一營業日，將您在本基金之股份免費轉換為本公司任何其他子基金之股份，惟前提係該等投資乃被允許，包括於您所在之國家。

您指定之子基金股份將依公開說明書之規定，以適用於該子基金之股份價格申購。

於您轉換至新子基金前，請務必閱讀新子基金之重要投資人資訊文件（「KIID」），該文件詳述如下。

買回您的股份

如您不希望於擬議變更實行後繼續投資於本基金，您得依公開說明書規定之通常買回程序及規定，於生效日前之任何營業日免費買回您在本基金之股份。於此期間，買回股東毋須支付或有遞延銷售費用（如適用），惟其需依照上述規定提出買回請求。

額外資訊

任何轉換或買回您的股份之指示應寄送至附錄B所載登記人及股務代理機構之地址。

轉換或買回您的股份可能影響您的稅務地位。您應就您每個公民身份、居所或住所國家所適用之任何稅務，向專業顧問尋求指引。

請注意，就本基金於生效日前進行之任何交易，於董事認為適當之情況，為保護其餘股東之利益，董事有裁量權適用稀釋調整以較公平地反映投資之價值。任何稀釋調整將依公開說明書之規定適用，且可能減少您於買回時自出售股份所獲得之款項或於轉換時之股份價值。

若您選擇買回您在本基金之股份，本公司將依公開說明書之規定向您支付買回款項，但若您係因本信函所述之變更而買回，本公司將不會收取任何費用（除任何上述稀釋調整外）。

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

若您選擇將您的股份轉換為不同基金的持股，本公司將依據公開說明書之規定，以適用於該基金之股份價格，利用所得款項購買您所指定之基金之股份，惟若您係因本信函中所述變更進行轉換，我們將不收取任何費用（除任何上述稀釋調整外）。

若您於對採取行動有任何疑問，請向您的股票經紀人、銀行經理、事務律師、會計師、關係經理或其他專業顧問尋求建議。

若本公司並未持有相關文件，本公司可能要求該等文件以驗證您的身份。在收到該等驗證前我們可能暫緩付款。我們一般將按照我們紀錄上的付款指示進行付款。若您已變更您的銀行帳號而未通知本公司，請依**附錄 B 提供之詳細資訊**，以書面形式向 **International Financial Data Services (Luxembourg) S.A.** 確認您的最新資訊。

如何聯絡我們

若您有任何問題，請依**附錄 B 提供之詳細資訊**，聯繫登記人及股務代理機構 **International Financial Data Services (Luxembourg) S.A.**。

(與台灣投資人無關部分略譯)

謹請注意，就您的投資與您溝通的駿利亨德森集團子公司及/或受任之第三人，可能基於訓練、品質及監督之目的，並符合隱私權政策之法定紀錄保存義務，就電話或其他對話錄音。

您誠摯地，

(簽名)

Kevin Adams

駿利亨德森遠見基金董事會之主席

Janus Henderson Horizon Fund

2 Rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg

W janushenderson.com

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附錄 A

若干子基金之變更

就本基金投資策略之描述修訂如下。謹請注意，具有底線之斜體字將被刪除，具有底線之粗體字將被新增。

現行投資策略/補充條款	變更/新投資策略條款
<p align="center">附錄 1 - 永續性方法</p> <p>本基金所提倡者除其他特徵外，亦包含環境及/或社會特徵，如下所述。雖未將永續性投資作為目標，惟其目前部分投資於具環境目標之永續性投資，但此不符合歐盟分類規則下就環境永續性經濟活動之投資及/或具社會目標。</p> <p>金融產品所提倡之環境及社會特徵</p> <p>本基金提倡採用溫室氣體濃度相關標準、支持 UNGC 原則及提倡基礎服務（如醫療保健、教育、金融）之可及性及可用性。</p> <p>關於本基金與分類規則之一致性，技術篩選標準（下稱「TSC」）將需要就各項投資之多項、特定數據點可得時方屬可得。截至今日，尚未具備充足可靠、及時且可驗證之數據可供投資管理人得利用 TSC 進行投資評估。</p> <p>此外，SFDR 下之監理技術標準（下稱「RTS」）界定了計算金融商品分類調整份額之方法，而供此等揭露之範本將僅於較後期方始適用。截至今日，投資管理人無法就本基金之分類調整提供標準化及具可比較性之揭露。</p>	<p align="center">附錄 1 - 永續性方法</p> <p>本基金所提倡者除其他特徵外，亦包含環境及/或社會特徵，但並未將永續性投資作為其目標。本基金不投資於永續性投資。</p> <p>金融產品所提倡之環境及社會特徵</p> <p>本基金提倡採用溫室氣體濃度相關標準、支持 UNGC 原則及提倡基礎服務（如醫療保健、教育、金融）之可及性及可用性。</p> <p>本基金提倡其中包括環境之特徵。因此，分類規則第 6 條規定，「不造成重大損害原則」僅適用於該等投資標的中考慮到歐盟環境永續經濟活動標準之金融產品。該金融產品其餘部分之投資並未考慮歐盟環境永續經濟活動標準。</p> <p>然而應注意的是，不論上述規定，該金融產品並未考慮分類規則中歐盟環境永續經濟活動標準，且並未計算其投資組合與該分類規則之一致性。從而，「不造成重大損害」原則不適用於該金融產品之任何投資。</p> <p>投資策略</p>

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

雖然本基金之投資或有可能為有助於實現環境目標之經濟活動，且將來可能有資格以 TSC 進行評估，但投資管理人目前尚無法精確說明：(i) 本基金投資於符合環境永續性且符合分類規則之經濟活動之程度；(ii) 投資於符合分類規則（如有）之環境永續性經濟活動佔本基金投資組合之百分比，或如適用；(iii) 致能活動及過渡活動（如分類規則中所述）佔本基金投資組合之百分比。

投資管理人正持續積極審視此等狀況，如取得充足可靠、及時及可驗證之資料，投資管理人將提供上述之說明，並更新本公開說明書。

投資策略

為滿足本基金所提倡之環境及社會特徵，投資管理人於選擇基礎資產時採用具拘束力之標準，作為其作成投資決策流程之一部分。

投資人應將本節與本基金之投資策略（如本公開說明書「基金」乙節所列）併同閱讀。

投資管理人依據發行人就特定活動之議合進行篩選以排除對該等發行人之直接投資。具體而言，如發行人之收益超過 5% 來自於石化燃料、核能武器、菸草、毛皮、酒精、民用軍備、集約農業、核電、賭博、色情及動物實驗（除藥物測試之外）生產，其會被排除。本基金亦適用公司通用排除政策，詳如標題為「投資限制」部份第 10.15 一段。

投資管理人利用基本面、由下而上之投資方法以辨識受益於長期趨勢主題之公司，包括該等提倡

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投資管理人認為可能面臨潛在環境或社會問題之公司，會受到積極議合、投票權行使及行動計劃之提出（如屬適當），以辨識永續性風險並協助影響改正性之變動。

如投資管理人認為用於應用排除之資料不充分或不準確，投資管理人得於本基金納入符合上述排除標準之部位。

若投資管理人擔心一公司未能維持適當之環境及/或社會信譽，其亦得進行如上述之議合及/或出脫。

此外，投資管理人自 ESG 之角度（即「外部財務分析」）就本基金所投資之證券中至少 90% 進行審查。

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(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

環境及社會特徵者。此等長期主題包括支付數位化、網路轉型、資源優化及流程自動化、次世代基礎建設及智慧城市。投資管理人認為，此等長期主題往往被低估並得提供具吸引力之終端市場，使處於有利地位之公司得以成長。

除分析其展現之治理風險外，投資管理人亦評估本基金持有之各公司對環境及社會之影響。投資管理人僅考量將該等系統性地減輕可能對業務造成損害之環境或社會風險之公司納入本基金。

作為此過程之一部分，投資管人會收集及監控一系列環境及社會數據。然而，投資管理人亦認知就不完善數據及使用不同方式揭露之複雜問題進行靜態評分之局限性。

投資管理人認為可能面臨潛在環境或社會問題之公司，會受到積極議合、投票權行使及行動計劃之提出（如屬適當），以辨識永續性風險並協助影響改正性之變動。

如投資管理人認為用於應用排除之資料不充分或不準確，投資管理人得於本基金納入符合上述排除標準之部位。

若投資管理人擔心一公司未能維持適當之環境及/或社會信譽，其亦得進行如上述之議合及/或出脫。

此外，投資管理人自 ESG 之角度（即「外部財務分析」）就本基金所投資之證券中至少 90% 進行審查。

本基金並未以永續性投資為目標，但提倡環境及/或社會特徵（如上述）。

投資人應注意，其並未指定特定指數作為參考指標，以決定本基金是否符合所提倡之環境及/或社會特徵。

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

本基金並未以永續性投資為目標，但提倡環境及/或社會特徵（如上述）。如本基金持有符合永續性投資資格之資產，投資管理人將依據「不造成重大損害」（下稱「DNSH」）原則（依據 SFDR 之規定），間接考慮其活動就與環境及社會事務相關之永續性因素之潛在負面影響。

投資人應注意，並未指定特定指數作為參考指標，以決定本基金是否符合所提倡之環境及/或社會特徵。

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附錄 B

本公司之代理機構及當地代表

<p>登記人及股務代理機構</p> <p>International Financial Data Services (Luxembourg) S.A, Bishops Square Redmond's Hill Dublin 2 Ireland 電話: +353 1 242 5453 傳真: +353 1 562 5537</p>	<p>(與台灣投資人無關部分略譯)</p>
<p>(與台灣投資人無關部分略譯)</p>	<p>(與台灣投資人無關部分略譯)</p>

公開說明書、主要投資者資訊文件（「KIID」）、章程以及本公司年度及半年度財務報告，得於正常營業時間內向本公司註冊辦公室、本公司之行政管理人或自本公司於該司法管轄區註冊公開發行之當地代表處（如本通知附錄 B 所載）免費索取副本。副本譯得透過本公司網站 WWW.JANUSHENDERSON.COM 獲得。

倘您已出售或轉讓您在本公司子基金[全球科技領先基金]之任何股份，請立即將本文件傳送予買方或受讓人，或透過其進行銷售或轉讓之股票經紀人、銀行或其他代理人，以便儘速傳送予買方或受讓人。

THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF YOU ARE IN ANY DOUBT AS TO THE ACTION YOU SHOULD TAKE YOU SHOULD SEEK ADVICE FROM YOUR INVESTMENT CONSULTANT OR OTHER PROFESSIONAL INDEPENDENT ADVISER.

JANUS HENDERSON HORIZON FUND (the “Company”)
Société d'Investissement à Capital Variable (SICAV)
LUXEMBOURG
RCS B 22847

NOTICE TO SHAREHOLDERS

18 November 2022

Dear Shareholder,

Changes applicable to – Janus Henderson Horizon Fund – Global Technology Leaders Fund in relation to its classification under SFDR

Unless otherwise defined herein, all other capitalised terms used herein shall bear the same meaning as capitalised terms used in the prospectus of the Company dated 1 October 2022. (the “Prospectus”).

We are writing to you, a shareholder of the Company, to inform you that we intend to make changes to the description of the “Sustainability Approach” of Global Technology Leaders Fund (the “Fund”), aimed at removing the reference to being partially invested in “Sustainable Investments” within the meaning of Art. 2 No. 17 of Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector, as amended (respectively, the “Change” and the “SFDR”), with effect from 23 December 2022 (the “Effective Date”).

Background and details of the Change

The SFDR sets out that firms are required to include sustainability-related disclosures in the fund documentation to provide further information for investors on, inter alia, sustainable investment objectives or on the promotion of environmental or social characteristics, the consideration of adverse sustainability impacts or on how investment managers consider sustainability risks in their investment decisions, and the likely impact on investment returns.

The Fund is currently classified under Article 8 as a fund that:

“promotes, among other characteristics, environmental or social characteristics, or a combination of those characteristics, provided that the companies in which the investments are made follow good governance practices”

While the Fund does not have as its objective sustainable investment, the Sustainability Approach details that it currently invests partially in sustainable investments.

A sustainable investment, as defined by the SFDR, is an investment in an economic activity that contributes to one or more environmental or social objectives, provided that such investment does not significantly harm any of those objectives and that the investee companies follow good governance practices (the “Sustainable Investment”).

It had been determined to remove from the binding elements of the Fund’s investment strategy the reference to partial Sustainable Investments and hence to no longer commit to such Sustainable Investments. This decision has been made to further differentiate the Fund from an Article 9 fund, which has as its objective Sustainable Investment, and is managed by the same investment team.

Please note these amendments do not have a material impact on the Funds’ investment strategy, portfolio composition, or risk profile. There is no change to the Synthetic Risk and Reward Indicator of any of the Funds as a result of these Changes. Please see “Action Required by You” below for details on how to respond to these Changes. There are no new fees, charges or increases

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in existing fees or charges borne by the Fund because of this Change. There will not be material adverse impact on the rights or interests of the shareholders of the Fund. There will be no material change in the operation and/or manner in which the Fund is being managed.

Effective Date of the Change

The Change will be reflected in the prospectus that is to be issued and the Change will be effective on the Effective Date or such later date as shall be notified to Shareholders.

Action Required by You

PLEASE READ CAREFULLY AND CHOOSE ONE OF THE FOLLOWING OPTIONS:

Take no action

You are not required to take any action as a result of this Change.

Switch your Shares

You may switch your Shares in the Fund into shares of any other sub-fund(s) of the Company free of charge on any on any Business Day prior to the Effective Date in accordance with the provisions of the Prospectus, provided that such an investment is permitted, including in your country.

The shares in the sub-fund(s) you specify will be purchased at the share price applicable to that sub-fund in accordance with the provisions of the Prospectus.

Before you switch into a new sub-fund, it is important that you read the Key Investor Information Document (“**KIID**”) of the new sub-fund, which are available as described below.

Redeem your Shares

If you do not wish to remain invested in the Fund following the implementation of the proposed Change you may redeem your Shares in the Fund free of charge on any Business Day prior to the Effective Date by following the usual redemption procedures and terms as set out in the Prospectus. During such period, redeeming Shareholders will not be subject to contingent deferred sales charge, if applicable, provided they submit their redemption request in accordance with the provisions referenced above.

Additional Information

Any instruction to switch or redeem your Shares should be sent to the Registrar and Transfer Agent at the address indicated in Appendix B.

A switch or redemption of your Shares may affect your tax position. You should therefore seek guidance from a professional adviser on any taxes that apply in the country of your respective citizenship, domicile or residence.

Please note that for any dealing in the Fund prior to the Effective Date, the Directors have discretion to apply a dilution adjustment to reflect more fairly the value of the investments in circumstances the Directors consider appropriate, with the view to protecting the interests of remaining Shareholders. Any dilution adjustment, if applicable, will be applied in accordance with the provisions of the Prospectus and may lower the proceeds that you receive from the sale of your Shares in the case of Redemption, or the value of your Shares in the case of switching.

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If you choose to redeem your Shares in the Fund, we will pay the redemption proceeds to you in accordance with the provisions of the Prospectus, except that we will not impose any fee (except for any dilution adjustment, as described above) if you redeem because of the Change described in this notice.

If you choose to switch your Shares to a holding in a different Fund, then we will use the proceeds to purchase Shares in the Fund(s) you specify at the share price applicable to that Fund in accordance with the provisions of the Prospectus except that we will not impose any fee (except for any dilution adjustment, as described above) if you switch because of the Change described in this notice.

If you are in any doubt about the action to be taken, please seek advice from your stockbroker, bank manager, solicitor, accountant, relationship manager or other professional adviser.

We may require documentation to verify your identity if we do not already hold it. We may delay payment until we receive such verification. We will normally make payment in accordance with the standing instructions we hold on file. If you have changed your bank account and not informed us, please confirm your up-to-date details in writing to **International Financial Data Services (Luxembourg) S.A. using the details provided in Appendix B.**

How to contact us

If you have any questions, please contact the Registrar and Transfer Agent, International Financial Data Services (Luxembourg) S.A. using the details provided in Appendix B.

For local agents and representatives for Swiss, German, Belgian or Singapore investors please see details in Appendix B.

Please note that subsidiaries and/or delegated third parties of the Janus Henderson Group that you communicate with about your investment may record telephone calls and other communications for training, quality, and monitoring purposes and to meet regulatory record keeping obligations in accordance with the Privacy Policy.

Yours faithfully,



Kevin Adams
Chairman of the Janus Henderson Horizon Fund Board

Appendix A Changes to certain Sub-Funds

Amendments will be made to description of the investment policy of the Global Technology Leaders Fund as follows. Please note that the wording in underlined italics will be removed and the wording in **underlined bold** text will be inserted.

Current Investment Policy / supplement Provision	Change / New Investment Policy Provision
<p style="text-align: center;">Appendix 1 - Sustainability Approach</p> <p>The Fund promotes, among other characteristics, environmental and/or social characteristics, <u>as described further below. While it does not have as its objective sustainable investment it currently invests partially in sustainable investments with an environmental objective, but that do not qualify as investment in environmentally sustainable economic activities under the EU Taxonomy and/or with a social objective.</u></p> <p>Environmental and social characteristics promoted by the financial product</p> <p>The Fund promotes the application of GHG intensity related criteria, support for UNGC Principles and the promotion of accessibility and availability of essential services (such as healthcare, education, finance).</p> <p><u>With regards to the Fund's alignment with the Taxonomy Regulation, the technical screening criteria ("TSC") require the availability of multiple, specific data points regarding each investment. As at the date hereof, there is insufficient reliable, timely and verifiable data available for the Investment Manager to be able to assess investments using the TSC.</u></p> <p><u>In addition, the regulatory technical standards (RTS) under the SFDR which define the methodology for the calculation of the share of taxonomy-alignment for financial products and the templates for these disclosures will only apply at later stage. As at the date hereof, the Investment Manager is not able to provide standardised and comparable disclosures on the taxonomy-alignments of the Fund.</u></p> <p><u>While there are investments in the Fund that may be in economic activities that may contribute to an environmental objective and may, in the future, be eligible to be assessed against the TSC, the Investment Manager is not currently in a position to accurately describe: (i) the extent to which the investments of the Fund are in economic activities that qualify as environmentally sustainable and are aligned with the Taxonomy Regulation; (ii) the proportion, as a percentage of the Funds' portfolios, of investments in environmentally sustainable economic activities which are aligned with the Taxonomy Regulation (if any); or if applicable (iii) the proportion, as a percentage of the Funds' portfolios, of enabling and transitional activities (as described in the Taxonomy Regulation).</u></p>	<p style="text-align: center;">Appendix 1 - Sustainability Approach</p> <p>The Fund promotes, among other characteristics, environmental and/or social characteristics but does not have as its objective sustainable investment. The Fund does not invest in sustainable investments.</p> <p>Environmental and social characteristics promoted by the financial product</p> <p>The Fund promotes the application of GHG intensity related criteria, support for UNGC Principles and the promotion of accessibility and availability of essential services (such as healthcare, education, finance).</p> <p><u>The Fund promotes, inter alia, environmental characteristics. As such, it is required as per Article 6 of the Taxonomy Regulation to state that the "do no significant harm" principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.</u></p> <p><u>It should however be noted that notwithstanding the above, this financial product does not take into account the EU criteria for environmentally sustainable economic activities within the meaning of the Taxonomy Regulation and its portfolio alignment with such Taxonomy Regulation is not calculated. Therefore, the "do not significant harm" principle does not apply to any of the investments of this financial product.</u></p> <p>Investment strategy</p> <p>In order to meet the environmental and social characteristics promoted by the Fund, the Investment Manager applies binding criteria to the selection of underlying assets as part of its investment decision making process.</p> <p>Investors should read this section in conjunction with the Fund's investment strategy (as set out in the section 'Funds' of this Prospectus).</p> <p>The Investment Manager applies screens to exclude direct investment in issuers based on their involvement in certain activities. Specifically, issuers are excluded</p>

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The Investment Manager is keeping this situation under active review and where sufficient reliable, timely and verifiable data on the Funds' investments should become available, the Investment Manager will provide the descriptions referred to above, in which case this Prospectus will be updated.

Investment strategy

In order to meet the environmental and social characteristics promoted by the Fund, the Investment Manager applies binding criteria to the selection of underlying assets as part of its investment decision making process.

Investors should read this section in conjunction with the Fund's investment strategy (as set out in the section 'Funds' of this Prospectus).

The Investment Manager applies screens to exclude direct investment in issuers based on their involvement in certain activities. Specifically, issuers are excluded if they have any involvement with chemicals of concern or derive more than 5% of their revenue from the production of fossil fuels, nuclear weapons, tobacco, fur, alcohol, civilian armaments, intensive farming, nuclear power, gambling, pornography and animal testing (excluding medical testing). The Fund also applies the Firmwide Exclusions Policy, as detailed under paragraph 10.15 of the section entitled "Investment Restrictions".

The Investment Manager uses a fundamental, bottom-up investment approach to identify companies which benefit from long-term secular themes including those which promote the environmental and social characteristics. Such long-term themes include payment digitisation, internet transformation, resource optimisation and process automation, next generation infrastructure and smart cities. The Investment Manager believes these secular themes are often under-appreciated and can provide attractive end markets into which well-positioned companies can grow.

The Investment Manager assesses each company held by the Fund in relation to its impact on the environment and society in addition to an analysis of the governance risks it exhibits. The Investment Manager will only consider companies for inclusion in the Fund that systematically mitigate the environmental or social risks that can operationally harm a business.

A range of environmental and social data is collected and monitored by the Investment Manager as part of its investment process. However, the Investment Manager, recognises the limitations of static scoring of complex issues with imperfect data and disclosures with varying methodologies.

Companies that the Investment Manager believes may be facing potential environmental or societal issues are subject to active engagement, the exercise of voting rights, and the proposal of action plans (where appropriate), in

if they have any involvement with chemicals of concern or derive more than 5% of their revenue from the production of fossil fuels, nuclear weapons, tobacco, fur, alcohol, civilian armaments, intensive farming, nuclear power, gambling, pornography and animal testing (excluding medical testing). The Fund also applies the Firmwide Exclusions Policy, as detailed under paragraph 10.15 of the section entitled "Investment Restrictions".

Companies that the Investment Manager believes may be facing potential environmental or societal issues are subject to active engagement, the exercise of voting rights, and the proposal of action plans (where appropriate), in order to identify sustainability risks and help influence remedial change.

The Investment Manager may include positions in the Fund that meet the above exclusionary criteria where the Investment Manager believes that the data used to apply the exclusions is insufficient or inaccurate.

If the Investment Manager has concerns that a company is failing to sustain appropriate environmental and/or social credentials, it may engage as described above and/or divest.

Furthermore, the Investment Manager carries out its review from an ESG perspective (i.e. 'extra-financial analysis') on at least 90% of the securities to be invested in by the Fund.

The Fund does not have as its objective sustainable investment but promotes environmental and/or social characteristics (referred to above).

Investors should note that a specific index is not designated as a reference benchmark to determine whether the Fund is aligned with the environmental and/or social characteristics promoted.

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order to identify sustainability risks and help influence remedial change.

The Investment Manager may include positions in the Fund that meet the above exclusionary criteria where the Investment Manager believes that the data used to apply the exclusions is insufficient or inaccurate.

If the Investment Manager has concerns that a company is failing to sustain appropriate environmental and/or social credentials, it may engage as described above and/or divest.

Furthermore, the Investment Manager carries out its review from an ESG perspective (i.e. 'extra-financial analysis') on at least 90% of the securities to be invested in by the Fund.

The Fund does not have as its objective sustainable investment but promotes environmental and/or social characteristics (referred to above). Where the Fund holds assets that qualify as sustainable investments, the Investment Manager will, according to the "Do no significant harm" (DNSH) principle (as provided for under the SFDR), indirectly consider potential negative impacts of its activities on sustainability factors relating to environmental and social matters.

Investors should note that a specific index is not designated as a reference benchmark to determine whether the Fund is aligned with the environmental and/or social characteristics promoted.

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Appendix B

Agents and Local Representatives of the Company

<p>Registrar and Transfer Agent</p> <p>International Financial Data Services (Luxembourg) S.A. Bishops Square Redmond's Hill Dublin 2 Ireland Telephone number: +353 1 242 5453 Fax number: +353 1 562 5537</p>	<p>Swiss investors</p> <p>Representative and Paying Agent</p> <p>BNP Paribas Zurich Paris Selnaustrasse 16 8002 Zurich</p> <p><i>The Extract Prospectus, the Swiss key investor information documents, the Company's Articles as well as the annual and semi-annual reports may be obtained free of charge from the Swiss representative and paying agent.</i></p>
<p>German investors</p> <p>Facilities Service Provider</p> <p>Janus Henderson Investors Europe S.A. 2 Rue de Bitbourg, L-123, Luxembourg</p> <p><i>The relevant Prospectus and KIIDs, the Certificate of Incorporation and Memorandum and Articles of Association and the annual and semi-annual reports are available there free of charge in paper form.</i></p>	<p>Belgian investors</p> <p>Facilities Agent</p> <p>Janus Henderson Investors Europe S.A. 2 Rue de Bitbourg, L-1273, Luxembourg</p> <p><i>The KIIDs (in English and French), the Prospectus, the Articles of association and the annual audited accounts and report (in English) of the Company can be obtained free of charge at the registered seat of the Company and the facilities agent.</i></p>
<p>Singapore investors</p> <p>Singapore representative</p> <p>Janus Henderson Investors (Singapore) Limited, Level 34 - Unit 03-04, 138 Market Street, CapitaGreen Singapore 048946</p> <p><i>The Prospectus, the Product Highlights Sheet ("PHS"), the Articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Singapore representative</i></p>	

A COPY OF THE PROSPECTUS, THE KEY INVESTOR INFORMATION DOCUMENTS ("KIID"), THE ARTICLES AND THE LATEST ANNUAL AND SEMI- ANNUAL REPORTS OF THE COMPANY ARE AVAILABLE FREE OF CHARGE UPON REQUEST DURING NORMAL BUSINESS HOURS FROM THE REGISTERED OFFICE OF THE COMPANY, THE ADMINISTRATOR OF THE COMPANY, OR FROM THE LOCAL REPRESENTATIVES OF THE COMPANY IN THE JURISDICTIONS IN WHICH THE COMPANY IS REGISTERED FOR PUBLIC DISTRIBUTION, AS SET OUT IN APPENDIX B OF THIS NOTICE. A COPY IS ALSO AVAILABLE VIA OUR WEBSITE AT WWW.JANUSHENDERSON.COM

IF YOU HAVE SOLD OR TRANSFERRED ANY OF YOUR SHARES IN GLOBAL TECHNOLOGY LEADERS FUND, A SUB-FUND OF THE COMPANY, PLEASE PASS THIS DOCUMENT AT ONCE TO THE PURCHASER OR TRANSFEREE, OR TO THE STOCKBROKER, BANK OR OTHER AGENT THROUGH WHOM THE SALE OR TRANSFER WAS EFFECTED FOR TRANSMISSION TO THE PURCHASER OR TRANSFEREE AS SOON AS POSSIBLE.

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