

野村證券投資信託股份有限公司 函

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受文者：安達國際人壽保險股份有限公司

發文日期：中華民國115年10月16日

發文字號：字第1150000789號

速別：普通件

密等及解密條件或保密期限：

附件：中、英文股東通知書 (0000789A00_ATTCH1.pdf)

主旨：謹函轉本公司擔任總代理人之駿利亨德森遠見基金系列境外基金公開說明書相關內容修訂如後說明，請查照。

說明：

一、本公司經金融監督管理委員會核准，擔任駿利亨德森遠見基金系列境外基金之總代理人，在國內公開募集及銷售，合先敘明。

二、本次駿利亨德森遠見系列境外基金公開說明書調整事項如下：

(一)因應 UCITS 修訂指令新增之流動性管理工具

(Liquidity Management Tools, LMT) 框架規定，公開說明書新增及更新相關流動性管理工具之揭露內容。

(二)新增實物買回 (Redemption in Kind) 機制說明。

(三)更新大量轉換或買回之遞延及限制 (Gating) 機制，當基金於單一交易日接獲之淨買回及轉換申請達基金淨資產價值10%以上時，得依規定進行比例限制及遞延處理。

(四)更新擺動定價 (Swing Pricing) 機制相關說明及揭露內容。

(五)因 Janus Henderson Group plc 完成私有化交易，公開說明書所揭露之集團實體名稱將由「Janus Henderson Group plc」變更為「Janus Henderson Group Ltd」。

(六)更新 SS&C Administration Services (Luxembourg) S.A. 之地址資訊。

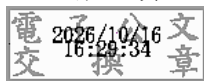
(七)其他行政性及釐清性更新，包括相互參照索引及相關配套修訂。

三、上述通知之變更預計不會對投資人或本基金之風險概況、投資策略或投資組合之組成產生重大影響，並於2026年10月16日，將相關內容反映於最新版公開說明書中，並可於境外基金資訊觀測站(<http://www.fundclear.com.tw>)查詢下載。

正本：宏利證券投資信託股份有限公司、中租證券投資顧問股份有限公司、鉅亨證券投資顧問股份有限公司、基富通證券股份有限公司、台新綜合證券股份有限公司、兆豐證券股份有限公司、群益金鼎證券股份有限公司、凱基證券股份有限公司、永豐金證券股份有限公司、華南商業銀行股份有限公司、上海商業儲蓄銀行股份有限公司信託部、台北富邦商業銀行股份有限公司、國泰世華商業銀行股份有限公司信託部(統編12163963)、高雄銀行股份有限公司信託部、王道商業銀行股份有限公司財富信託部、王道商業銀行股份有限公司財富管理部、渣打國際商業銀行股份有限公司信託部、台中商業銀行股份有限公司、京城商業銀行股份有限公司信託部、華泰商業銀行股份有限公司、臺灣新光商業銀行股份有限公司信託部、臺灣新光商業銀行股份有限公司財管商品部、板信商業銀行股份有限公司信託部、三信商業銀行股份有限公司(信託部)、聯邦商業銀行股份有限公司財管部、遠東國際商業銀行股份有限公司投資顧問部、永豐商業銀行股份有限公司理財商品部、玉山商業銀行股份有限公司信託部、星展(台灣)商業銀行信託部(T&O—WMO)、台新國際商業銀行股份有限公司、安泰商業銀行股份有限公司、中國信託商業銀行股份有限公司、好好證券股份有限公司、第一商業銀行股份有限公司信託處規劃部、連線商業銀行股份有限公司、富達證券投資信託股份有限公司、容海國際證券投資顧問股份有限公司、瑞士商瑞士信貸銀行股份有限公司台北證券分公司、瑞士商瑞士銀行股份有限公司台北分公司、全球人壽保險股份有限公司、安聯人壽保險股份有限公司、南山人壽保險股份有限公司、富邦人壽商品行銷部投資型企劃科、凱基人壽保險股份有限公司、國泰人壽保險股份有限公

司、新光人壽保險股份有限公司、安達國際人壽保險股份有限公司、三商美邦人壽保險股份有限公司、台灣人壽保險股份有限公司、國泰證券投資信託股份有限公司、國泰證券投資顧問股份有限公司、富蘭克林華美證券投資信託股份有限公司、復華證券投資信託股份有限公司、兆豐國際證券投資信託股份有限公司、群益證券投資信託股份有限公司、華南產物保險股份有限公司

副本：



裝

訂



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(節譯文)

於本通知函中使用而未另行定義之用語，應與 2026 年 10 月 16 日之公開說明書（「公開說明書」）中所定義者具有相同意涵。董事會對本通知函之正確性承擔責任。

本文件至關重要，需要您立即注意。如您對本通知函之內容有任何疑問，請立即諮詢您的股票經紀人、銀行經理、事務律師、會計師、關係經理或其他專業顧問。

駿利亨德森遠見基金（「本公司」）
可變資本投資公司（SICAV）
盧森堡
商業登記編號 B 22847

致股東通知函
（「通知函」）

2026 年 10 月 16 日

親愛的股東，

謹致函通知您，我們此刻正對本公司之文件進行數項變更，以反映歐洲議會及理事會於 2024 年 3 月 13 日通過，並修訂第 2011/61/EU 號及第 2009/65/EC 號指令之第 2024/927/EU 號指令（「UCITS 修訂指令」）所引進之強制性流動性管理工具框架；依其規定，UCITS 須自經不時修訂之第 2009/65/EC 號指令附錄 IIA 所界定之流動性管理工具中，選擇並維持至少兩項。我們亦藉此機會對公開說明書進行特定行政性及釐清性之更新，如下所述。除本通知函另有說明外，修訂（定義如下）將於 2026 年 10 月 16 日生效。

(a) 流動性管理工具之更新

更新公開說明書以反映開放式基金之新流動性管理工具框架。依據上述之更新版 UCITS 框架及統一流動性管理工具要求，經理公司已從第 2009/65/EC 號指令附錄 IIA（經不時修訂）（「LMT 規則」）所列流動性管理工具中，選擇並將維持至少兩項流動性管理工具。此等工具可能會在適當的情況下被啟用，以應對壓力市場下的買回壓力並保護股東利益。此外，在特殊情況下，且基於股東利益考量下具正當理由時，經理公司仍保留暫時暫停申購、買回及回購股份之能力。

為了反映這些監理發展並使公開說明書與更新框架保持一致，公開說明書特定部分已進一步澄清，以描述本公司可與經理公司合作運用之一系列流動性管理

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工具。特定流動性管理工具之可用性及使用條款將揭露於公開說明書一般部分，除各基金補充文件中另有說明外，將適用於所有基金；

(b) 實物買回

新增「實物買回」子節，規定本公司可在股東提出申請並經董事會同意下，透過分配相關基金之資產滿足股份之實物買回，所分配資產之價值相當於該股東所買回股份之每股淨資產價值總額。任何此類情況下所轉讓之資產性質及類型應由董事會決定，並經存託機構核准，以公平合理的基礎進行，且不得損害相關基金其餘股東的利益。任何此類轉讓費用應由提出申請之股東承擔。

(c) 大量轉換或買回之遞延及限制措施 (gating)

依據LMT規則更新買回及轉換之遞延機制，據此，當一基金於任何交易日接獲之淨買回及轉換申請總額達到該基金淨資產價值之10%或以上時，本公司得依其裁量權，將該交易日之基金買回及/或轉換股份限制在該基金淨資產價值之10%。於此情況下，於相關交易截止時間前接獲之買回及/或轉換申請，應按比例於該交易日提出申請之所有股東間進行縮減受理。因此項限制而未能買回及/或轉換之股份，應予遞延，並視為已於緊接其後之交易截止時間提出申請，且應以相同方式持續遞延，直至原始申請全數獲得滿足為止。從較早交易截止時間遞延之買回及/或轉換申請，在始終受到相同限制之前提下，應優先於其後提出之買回及/或轉換申請辦理。

(d) 擺動定價

更新公開說明書擺動定價之部分，以就現有擺動定價政策之機制提供更清楚之說明。擺動門檻及擺動因素之說明將予以擴充，以符合更新版 UCITS 框架，闡明所考量之各項組成要素，包括顯性交易成本(例如經紀佣金、稅捐及交割費用)及隱性交易成本(例如買賣價差、市場影響及其他執行相關費用)。擺動因素之最高幅度，通常將不超過相關基金每股資產淨值之 2%，但於特殊市場情況下得增加至 5%，以保護股東之利益。

(e) 公開說明書所揭露之Janus Henderson Group plc(即駿利亨德森集團)實體名稱變更

作為Janus Henderson Group plc於2026年6月30日私有化交易之一環，公開說明書所揭露之「Janus Henderson Group plc」實體名稱，將於該實體由公開公司轉為私人公司後更改為「Janus Henderson Group Ltd」。

更多資訊，請參閱新聞稿：
<https://www.businesswire.com/news/home/20260630015798/en/Janus->

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

[Henderson-Completes-Take-Private-Transaction-with-Trian-General-Catalyst-and-QIA](#)。

- (f) 公開說明書所揭露之SS&C Administration Services (Luxembourg) S.A.地址變更

揭露於公開說明書之SS&C Administration Services (Luxembourg) S.A.地址將由「47, avenue J.F Kennedy L-1855 Luxembourg, Grand Duchy of Luxembourg」更改為「5, Rue Jean Monnet L-2180 Luxembourg, Grand Duchy of Luxembourg」。

- (g) 其他雜項之更新

對公開說明書進行其他細微變更及釐清，包括更新相互參照索引，以及為使上述變更生效所需之任何相應變更。

(「**擬議修訂**」)。

擬議修訂將不會導致基金之投資目標、政策或風險概況造成重大變更，亦不會對基金所負擔之現行費用或收費產生任何改動，或導致任何可能損及現存股東權益之情事或影響。

須採取之行動？

上述資訊僅供您參考，您無須採取任何行動。

需要更多資訊？如何聯絡我們

若您有任何問題，請依附錄 A 提供之詳細資訊，聯繫登記人及股務代理機構。

(與臺灣投資人無關部分略譯)

您誠摯地，



董事

代表駿利亨德森遠見基金

(餘略)

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附錄 A

本公司之代理機構及當地代表

<u>登記人及股務代理機構</u> SS&C Administration Services (Luxembourg) S.A. Bishops Square Redmond's Hill Dublin 2 Ireland 電話號碼： +353 1 242 5453 傳真號碼： +353 1 562 5537	(與臺灣投資人無關部分略譯)
(與臺灣投資人無關部分略譯)	(與臺灣投資人無關部分略譯)
(與臺灣投資人無關部分略譯)	所有其他投資人 若您對此等事項或文件副本有任何疑問，應透過上述地址聯繫我們，或另亦得酌情聯繫您的投資顧問、稅務顧問及/或法律顧問。 若您對欲採取之行動有任何疑問，請向您的股票經紀人、銀行經理、事務律師、會計師、關係經理或其他專業顧問尋求建議。 謹請注意，就您的投資與您進行聯繫之駿利亨德森集團子公司及/或受任之第三人，可能基於訓練、品質及監督之目的，以及為履行監管法規所定之紀錄保存義務，依據隱私權政策對電話通話及其他通訊進行錄音或記錄。

THE TERMS USED BUT NOT OTHERWISE DEFINED IN THIS NOTICE SHALL HAVE THE SAME MEANINGS AS THOSE DEFINED IN THE PROSPECTUS DATED 16 OCTOBER 2026 (THE “PROSPECTUS”). THE DIRECTORS ACCEPT RESPONSIBILITY FOR THE ACCURACY OF THIS NOTICE.

THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF YOU ARE IN ANY DOUBT ABOUT THE CONTENTS OF THIS NOTICE, PLEASE CONSULT YOUR STOCKBROKER, BANK MANAGER, SOLICITOR, ACCOUNTANT, RELATIONSHIP MANAGER OR OTHER PROFESSIONAL ADVISER IMMEDIATELY.

**JANUS HENDERSON HORIZON FUND (the “Company”)
Société d'Investissement à Capital Variable (SICAV)
LUXEMBOURG
RCS B22847**

**NOTICE TO SHAREHOLDERS
(the “Notice”)**

16 October 2026

Dear Shareholder,

We are writing to inform you of certain changes we are making to the documentation of the Company in order to reflect the mandatory liquidity management tools framework introduced by Directive 2024/927/EU of the European Parliament and of the Council of 13 March 2024 amending Directives 2011/61/EU and 2009/65/EC (the “**UCITS Amending Directive**”), pursuant to which UCITS are required to select and maintain at least two liquidity management tools from Annex IIA of Directive 2009/65/EC, as amended from time to time as defined below. We are also taking the opportunity to make certain administrative and clarificatory updates to the Prospectus as described below. Unless otherwise indicated in this Notice, the Amendments (as defined below) will enter into effect on 16 October 2026.

a) Liquidity Management Tools Update

Update of the Prospectus to reflect the new liquidity management tools framework for open-ended funds. In line with the updated UCITS framework mentioned above and the harmonised liquidity management tools requirements, the Management Company has selected, and will maintain, at least two liquidity management tools from Annex IIA of Directive 2009/65/EC (as amended from time to time) (the “**LMT Rules**”). These tools may be activated, where appropriate, in order to address redemption pressures in stressed market conditions and to safeguard the interests of Shareholders. In addition, in exceptional circumstances and, where justified in light of the interests of Shareholders, the Management Company retains the ability to temporarily suspend subscriptions, redemptions and repurchases of Shares.

To reflect these regulatory developments and to align the Prospectus with the updated framework, certain sections of the Prospectus have been further clarified to describe the set of liquidity management tools available for use by the Company in collaboration with the Management Company. The availability and the terms of use of a specific

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liquidity management will be disclosed in the general part of the Prospectus and shall apply to all Funds, unless indicated otherwise in their respective supplement;

(b) Redemption in kind

To include a new sub-section entitled “Redemption in kind”, providing that the Company may, where a Shareholder so requests and the Directors agree, satisfy any redemption of Shares in specie by allocating assets of the relevant Fund having a value equal to the aggregate Net Asset Value per Share of the Shares being redeemed by that Shareholder. The nature and type of assets to be transferred in any such case shall be determined by the Directors, subject to the approval of the Depositary, on a fair and equitable basis and without prejudice to the interests of the remaining Shareholders of the relevant Fund. The costs of any such transfer shall be borne by the Shareholder requesting it.

(c) Deferral of significant Switches or Redemptions and Gating

To update the deferral mechanism for redemptions and switches in accordance with the LMT Rules, so that where the aggregate net redemption and switching requests received in respect of a Fund on any Dealing Day amount to 10% or more of the Net Asset Value of that Fund, the Company may, in its discretion, limit the redemption and/or switching of Shares to 10% of the Net Asset Value of that Fund on that Dealing Day. In such circumstances, redemption and/or switching requests received by the relevant Dealing Cut-Off shall be scaled back pro rata among all Shareholders submitting redemption and/or switching requests on that Dealing Day. Any Shares not redeemed and/or switched as a result of that limit shall be carried forward and treated as if a request had been made in respect of the immediately following Dealing Cut-Off, and shall continue to be carried forward in the same manner until the original requests have been satisfied in full. Redemption and/or switching requests carried forward from an earlier Dealing Cut-Off shall, subject always to the same limit, be given priority over later redemption and/or switching requests.

(d) Swing Pricing

To update the swing pricing section of the Prospectus to provide greater clarity on the mechanics of the existing swing pricing policy. The description of the Swing Threshold and the Swing Factor will be expanded to further explain, in compliance with the updated UCITS framework, the components taken into account, including explicit transaction costs (such as brokerage commissions, taxes and settlement charges) and implicit transaction costs (such as bid-offer spreads, market impact and other execution-related costs). The maximum level of the Swing Factor, which ordinarily will not exceed 2% of the relevant Fund’s Net Asset Value per Share, may be increased up to 5% in exceptional market conditions to protect the interests of Shareholders.

(e) Change of entity name of Janus Henderson Group plc as disclosed in the Prospectus

As part of the take-private transaction of Janus Henderson Group plc, which closed on 30 June 2026, the entity name of “Janus Henderson Group plc” as disclosed in the Prospectus will be changed to “Janus Henderson Group Ltd”, following the entity’s change from a public company to a private company.

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For further information, please see the press release available at <https://www.businesswire.com/news/home/20260630015798/en/Janus-Henderson-Completes-Take-Private-Transaction-with-Trian-General-Catalyst-and-QIA..>

(f) Change of address of SS&C Administration Services (Luxembourg) S.A. as disclosed in the Prospectus

The address of SS&C Administration Services (Luxembourg) S.A. as disclosed in the Prospectus will change from “47, avenue J.F Kennedy L-1855 Luxembourg, Grand Duchy of Luxembourg” to “5, Rue Jean Monnet L-2180 Luxembourg, Grand Duchy of Luxembourg”.

(g) Miscellaneous updates

To make other minor changes and clarifications to the Prospectus, including updating cross-references and making any consequential amendments required to give effect to the changes described above.

(the "**Amendments**").

The Amendments will not result in any material change to the Fund's investment objective, policy or risk profile, any alteration to existing fees or charges borne by the Fund, or any matter or impact that may prejudice the existing Shareholders' rights or interest.

What action is required?

The above is for your information only and no action is required of you.

Need more information? How to contact us

If you have any questions, please contact the Registrar and Transfer Agent, using the details provided in Appendix A.

For local agents and representatives for Singaporean, Swiss, German or Belgian investors please see details in Appendix A.

Yours faithfully,



Director
For and on behalf of Janus Henderson Horizon Fund

Janus Henderson Horizon Fund

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Appendix A

Agents and Local Representatives of the Company

<u>Registrar and Transfer Agent</u> SS&C Administration Services (Luxembourg) S.A. Bishops Square Redmond's Hill Dublin 2 Ireland Telephone number: +353 1 242 5453 Fax number: +353 1 562 5537	Investors in Singapore Singapore representative Janus Henderson Investors (Singapore) Limited Level 34 - Unit 03-04 138 Market Street CapitaGreen Singapore 048946 <i>The Prospectus, the Product Highlights Sheet ("PHS"), the Articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Singapore representative.</i>
Investors in Switzerland Swiss Representative: FIRST INDEPENDENT FUND SERVICES LTD. Feldeggstrasse 12, 8008 Zurich Swiss Paying Agent: Banque Cantonale de Genève 17, quai de l'Île 1204 Geneva The prospectus for Switzerland, the key information documents, the articles, as well as the annual and semi-annual reports of the Company, may be obtained free of charge from the Swiss Representative.	Investors in Germany Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg <i>JHIESA is the facilities service provider according to Sec. 306a (1) German Investment Code (KAGB) and the relevant Prospectus and key information documents for packaged retail and insurance-based investment products (PRIIPs-KIDs), the Certificate of Incorporation and Memorandum and Articles of Association and the annual and semi-annual reports are available there free of charge in paper form.</i>
Investors in Belgium Janus Henderson Investors Europe S.A. ("JHIESA") 78, Avenue de la Liberté L-1930 Luxembourg Grand Duchy of Luxembourg <i>The PRIIPs KIDs (in English and French), the Prospectus, the Articles of association and the annual audited accounts and report (in English) of the Company can be obtained free of charge at the registered seat of the Company and the facilities agent.</i>	For all other investors Should you have any questions relating to these matters or copies of documents, you should either contact us at the above address or alternatively you should contact your investment consultant, tax adviser and/or legal adviser as appropriate. If you are in any doubt about the action to be taken, please seek advice from your stockbroker, bank manager, solicitor, accountant, relationship manager or other professional adviser. Please note that subsidiaries and/or delegated third parties of the Janus Henderson Group that you communicate with about your investment may record telephone calls and other communications for training, quality and monitoring purposes and to meet regulatory record keeping obligations in accordance with the Privacy Policy.

Janus Henderson Horizon Fund

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